

# Methods in genome annotation 2016

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This lecture will mainly focus on eukaryote

1. Introduction - Understanding gene annotation
2. The different annotation approaches
3. Two pipelines cases (EnSEMBL MAKER2)
4. Quick word about Prokaryote annotation
5. Check an annotation
6. Closing remarks

## 1. Introduction

### Overview

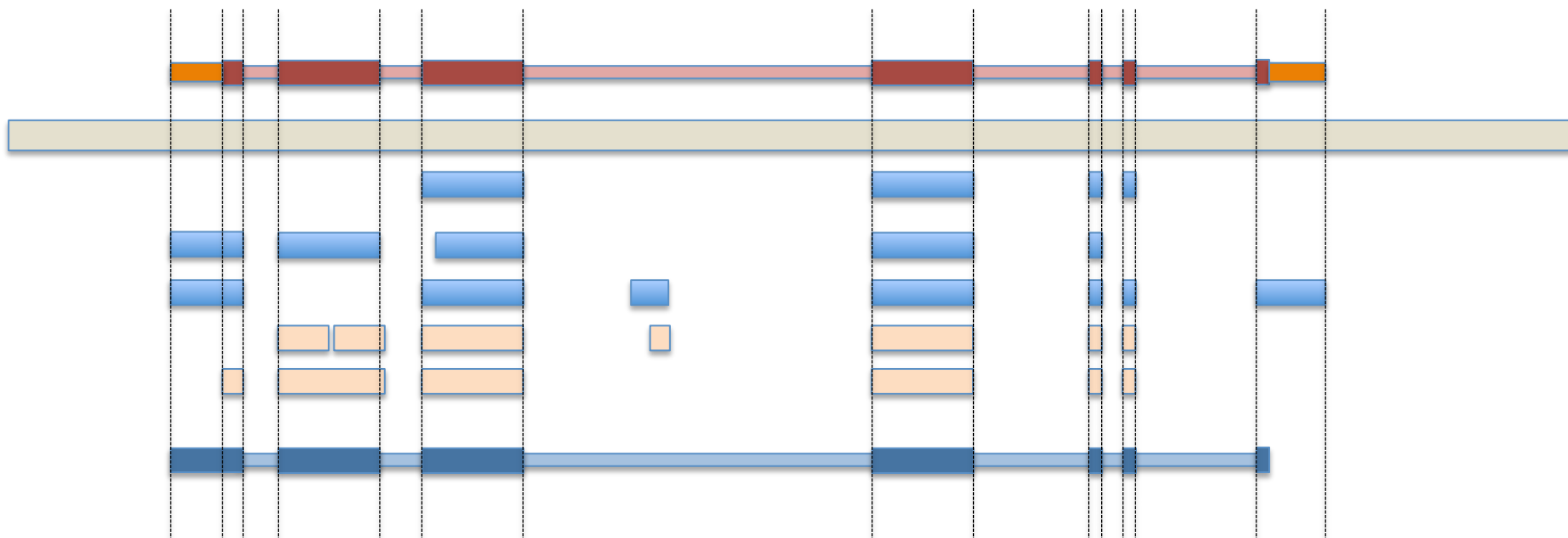
Annotation = combining different lines of evidence into gene models

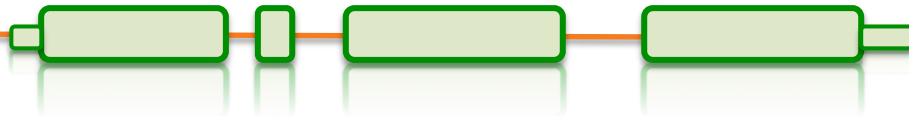
Evidences: ESTs / Transcripts

Proteins

*Ab-initio* prediction

Combining





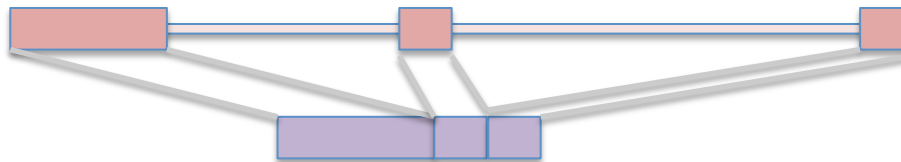
## A bit of terminology first:

'gene prediction' and 'gene annotation' are often used as if they are synonyms, they are not

Mark Yandell & Daniel Ence  
*Nature Reviews Genetics* **13**, 329-342 (May 2012) doi:10.1038/nrg3174

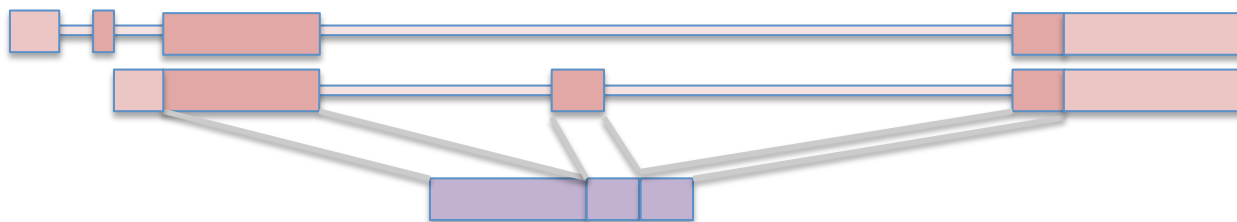
### Gene prediction (*Ab-initio*)

Goal: Finding the single most likely coding sequence (CDS)



### Gene annotation

Goal: Identify the entire gene structure



### A bit of terminology first:

In recent years, the distinction between (*ab-initio*) gene prediction and gene annotation has been blurred

- Gene prediction may :
- predict UTRs
  - predict isoforms
  - use evidence information

Gene annotation can predict gene models without UTR

⇒ Don't be disturbed by the use of different terms, roughly mean the same thing :  
**determining the gene models.**

⇒ **The most important is to know/understand the approach and the data used for the annotation.**

Gene prediction ~ **Gene annotation** ~ Gene building ~ Gene finding



## The different approaches

- **Similarity-based methods :**  
These use similarity to annotated sequences like proteins, cDNAs, or ESTs
- ***Ab initio* prediction:**  
These don't use external evidence to predict sequence structure
- **Hybrid approaches :**  
These are *ab initio* tools integrating multiple forms of evidence/hint
- **Comparative (homology) based gene finders :**  
These align genomic sequences from different species and use the alignments to guide the gene predictions
- **Chooser, combiner approaches :**  
These combine gene predictions of other gene finders
- **Pipelines :**  
These combine multiple approaches

## 2) The different annotation approaches

### 2.1) Annotation through similarity-based methods “extrinsic approach”



# similarity-based method

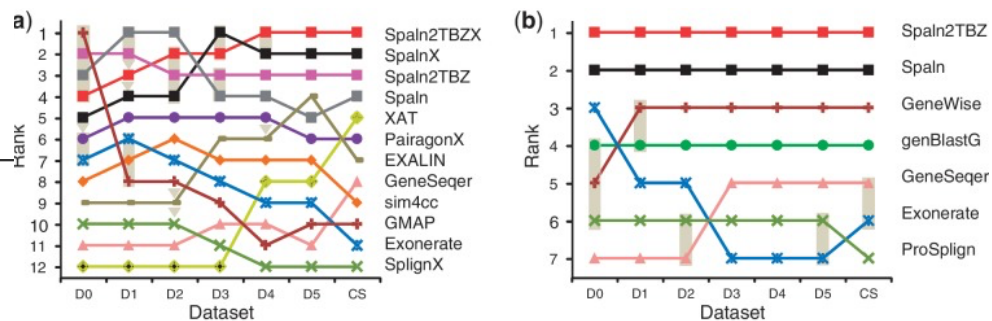
- Rough approximation (fast)

DNA	AA
Blastn	Pmatch
Vsearch	Blastx
NSimScan*	PSimScan*

- Splice-site aware alignment (slow – moderately slow)

Method	Human					
	Mouse			Chicken		
CDS	EXALIN	3h 5min	41.3s	2h 1min	41.1s	
	Exonerate	9min	30.1s	3min	31.7s	
	GeneSeqer	7h 14min	48.2s	3h 2min	49.4s	
	GMAP	1min	43.5s	1min	37.9s	
	PairagonX	274h 1min	16.0s	500h 57min	16.8s	
	sim4cc		33.9s		19.3s	
	Spaln2TBZX	6min	55.2s	9min	44.3s	
	SplignX	14min	2.0s	6min	24.6s	
	XAT	2min	2.8s	1min	17.9s	
protein	Exonerate	12h 36min	10.3s	7h 33min	17.0s	
	genBlastG	3min	30.3s	2min	16.6s	
	GeneSeqer	10h 10min	24.0s	6h 20min	40.0s	
	GeneWise	69h 17min	36.1s	47h 36min	6.6s	
	ProSplign	2h 18min	24.9s	1h 17min	39.1s	
	Spaln2TBZ	4min	32.0s	4min	41.8s	

DNA	AA
Exonerate	Exonerate
Gmap	Genewise
...	...



Hiroaki Iwata and Osamu Gotoh

Nucleic Acids Res. 2012 Nov; 40(20): e161. doi: 10.1093/nar/gks708

### Limits for proteins:

- Related to pre-existing data
- Most of data are *Ab-initio* prediction (No verification of their existence)
- Consequently errors in databases can be transmitted
- No UTR

### Limits for transcripts:

- Hard to catch low expressed / peculiar expressed (stage of life, condition, etc...)
- Not complete (EST)
- Transcriptome assembly errors
- Can even be difficult with long reads (error rate / frameshift)

### General limits for transcripts:

- Difficult to find limits of similarity

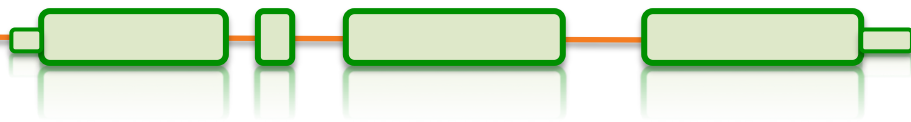
**Strengths** => produce biologically relevant predictions

=> produce evidences useful for ab initio tools, combiners and pipelines

## 2) The different annotation approaches

### 2.2) *Ab-initio* annotation tools “intrinsic approach”

*from the beginning*



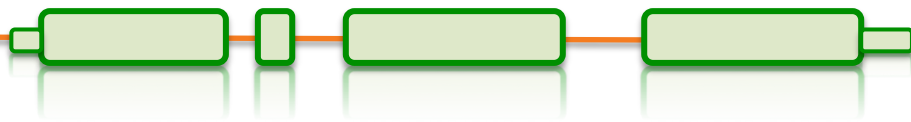
method based on **gene content** :  
(statistical properties of protein-coding sequence )

- codon usage
- hexamer usage
- GC content
- compositional bias between codon positions
- nucleotide periodicity
- ...

and on **signal detection**:

- Promoteur
- ORF
- Start codon
- Splice site (Donor and acceptor)
- Stop codon
- Poly(A) tail
- CpG islands
- ...

=> *Ab initio* tool will combines these information through different Probabilistic models: HMM, GHMM, WAM, etc.

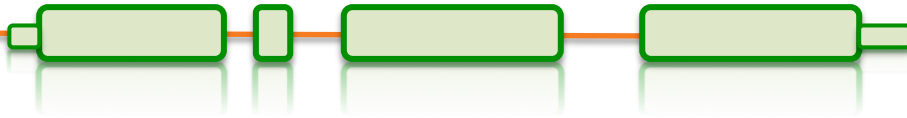


## Popular tools:

- **SNAP** Works ok, easy to train, not as good as others especially on longer intron genomes.
- **Augustus** Works great, hard to train, but getting better).
- **GeneMark-ES Self training**, no hints, buggy, not good for fragmented genomes or long introns (Best suited for Fungus).
- **FGENESH** Works great, costs money even for training.
- **GlimmerHMM** (Eukaryote)
- **GenScan**
- **Gnomon** (NCBI)

Supported  
by MAKER

[http://weatherby.genetics.utah.edu/MAKER/wiki/index.php/MAKER\\_Tutorial](http://weatherby.genetics.utah.edu/MAKER/wiki/index.php/MAKER_Tutorial)

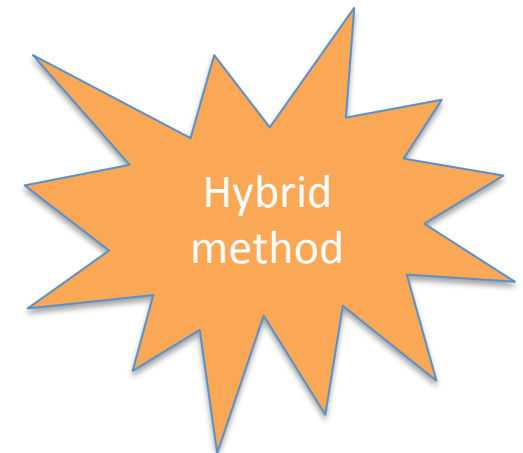


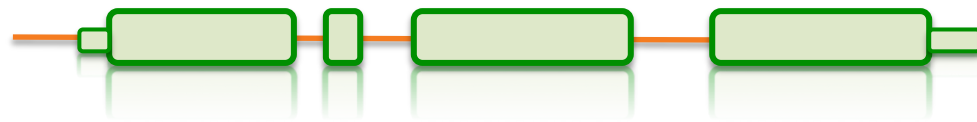
### Strengths :

- Fast and easy means to identify genes
- Annotate unknown genes
- “Exhaustive” annotation
- Need no external evidence

### Limits :

- No UTR\*
- No alternatively spliced transcripts\*
- Over prediction (exons or genes)
- **Training** needed to perform well in *terra incognita*'
- Split single gene into multiple predictions
- Fused with neighboring genes
- Less accurate than homology based method:
  - Exon extremities
  - Splicing sites





**Hybrid** (*evidence-drivable gene predictors*) approaches incorporate hints in the form of EST alignments or protein profiles to increase the accuracy of the gene prediction.

**GenomeScan** Blast hit used as extra guide

**Augustus** 16 types of hints accepted (gff): start, stop, tss, tts, ass, dss, exonpart, exon, intronpart, intron, CDSpart, CDS, UTRpart, UTR, irpart, nonexonpart.

**GeneMark-ET** EST-based evidence hints

**GeneMark-EP** Protein-based evidence hints

} Self training !

**SNAP** Accepts EST and protein-based evidence hints.

**Gnomon** Uses EST and protein alignments to guide gene prediction and **add UTRs**

**FGENESH+** Best suited for plant

**EuGene\*** Any kind of evidence hints. Hard to configure (best suited for plant)

**Strength :** High accuracy

**Limits :**

- **Extra computation to generate alignments**
- **heterogeneous sequence quality :**
  - Incomplete,
  - Error during transcriptome assembly
  - Contamination
  - Sequence missing
  - Orientation error



The BRAKER1 gene finding pipeline:

### **BRAKER1: Unsupervised RNA-Seq-Based Genome Annotation with GeneMark-ET and AUGUSTUS**

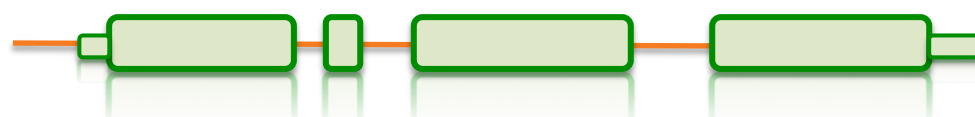
Katharina J. Hoff et *al.*

Bioinformatics (2016) 32 (5): 767-769. doi: 10.1093/bioinformatics/btv661

- BRAKER1 was more accurate than MAKER2 when it is using RNA-Seq as sole source for training and prediction.
- BRAKER1 does not require pre-trained parameters or a separate expert-prepared training step.

## 2) The different annotation approaches

### 2.3) Annotation using comparative genomic approach



**Comparative-based** methods lie in the similarities shared by regions of two evolutionary related genomic sequences.

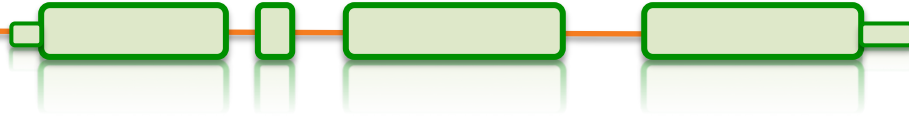
The main assumption of these methods is that the functional parts of an eukaryotic genomic sequence, the exons, tend to be more conserved than the non-functional ones, the introns.

**Dual genome**, de novo gene structure prediction:

- **Rosetta** (Pioneer – 2000)
- **SGP-2** (2001) – considered only the conservation in protein-coding regions
- **TWINSKAN** (2001) - included models of conservation in splice sites and start and stop codons
- **SLAM** (2003)
- **TWAIN** (2005)

More than 2 genomic sequences:

- **NSCAN** (2006)
- **Conrad** (CRF, 2007)
- **CONTRAST** (CRF, 2008)
- **Augustus-cgp** (new)



### **Strength** : Good accuracy

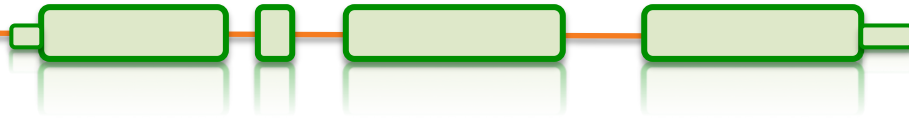
CONTRAST the best de novo gene predictor for mammals. (Michael R. Brent in Nature reviews, 2008) => 58 % ORF structure correct in human

### **Limits**

- Alignment errors bias
- biological function is not necessarily conserved
- Difficult to define limits of higher similarity
- Difficult to find optimal evolutionary distance (pattern of conservation differ between loci)
- Whole genome alignment is time/memory consuming

## 2) The different annotation approaches

### 2.4) Chooser / combiner



Use battery of gene finders and evidence (EST, RNAseq, protein) alignments and:

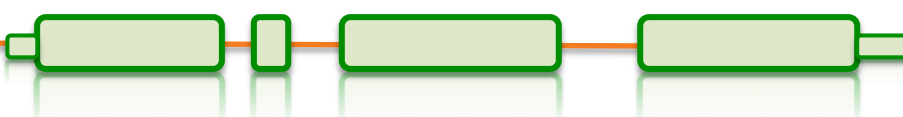
Tool	Consensus based chooser	Evidence based chooser	weight of different sources	Comment
A) select the prediction whose structure best represents the consensus				
<b>JIGSAW</b>	X			
B) choose the best possible set of exons and combine them in a gene model				
<b>EVM</b> EvidenceModeler	X	X	X	User can set the expected evidence error rate manually or/and learn from a training set
<b>Evigan</b>	X		X	Unsupervised learning method
<b>Ipred</b>		X		Does not require any a priori knowledge Can also combine only evidences to create a gene model

**Strength =>** They improve on the underlying gene prediction models

## 2) The different annotation approaches

### 2.5) Annotation of other genome features

## Other genome features

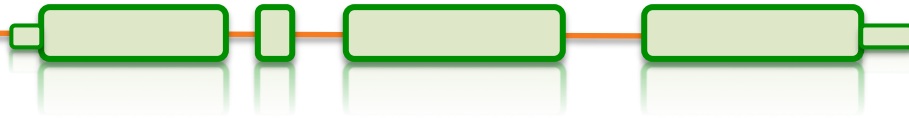


Feature type	DB associated	Tool example	approach
ncRNA	Rfam	infernal	HMM + CM
tRNA	Sprinzl database	tRNAscan-SE	CM + WMA
snoRNA		snoscan	HMM + SCFG
miRNA	miRBase	Splign miR-PREFeR (for plant)	sequence alignment Based on expression patterns
Repeats	Repbase, Dfam	repeatMasker	HMM, blast
Pseudogenes		pseudopipe	homology-based (blast)
...			



3) Gene annotation pipelines  
(The ultimate step)

*Align evidences themselves, add UTRs and more*



**PASA** Produces evidence-driven consensus gene models

- minimalist pipeline ( )
- + good for detecting isoforms
- + biologically relevant predictions

=> associated to *Ab initio* tools and combined with **EVM** it gives pretty good job !

- PASA + Ab initio + EVM not automatized

**NCBI pipeline** Evidence + *ab initio* (Gnomon), repeat masking, gene naming, data formatting, miRNAs, tRNAs

- Not released by NCBI

**Ensembl** Evidence based only ( comparative + homology ) ...

**MAKER2** Evidence based and/or *ab initio* ...



### 3) Gene annotation pipelines (The ultimate step)

#### 3.1) EnsEMBL

Overview

Started in 1999

The screenshot shows the Ensembl genome browser interface. At the top, there is a navigation bar with links for BLAST/BLAT, BioMart, Tools, Downloads, Help & Documentation, Blog, and Mirrors. A search bar is located on the right side of the navigation bar. Below the navigation bar, there is a search area with a dropdown menu for 'All species' and a 'Go' button. The main content area is divided into several sections: 'Browse a Genome' with popular genomes (Human, Mouse, Zebrafish) and a species selection dropdown; 'What's New in Release 75 (February 2014)' with a list of updates; 'Latest blog posts' with recent blog entries; 'Did you know...?' with a share icon; and several tool tiles for 'ENCODE data in Ensembl', 'Variant Effect Predictor', 'Gene expression in different tissues', 'Find SNPs and other variants for my gene', 'Retrieve gene sequence', 'Compare genes across species', 'Use my own data in Ensembl', and 'Learn about a disease or phenotype'. At the bottom, there is a footer with the Sanger Institute logo, copyright information, and links for 'About Ensembl', 'Privacy Policy', and 'Contact Us'.

Perhaps the largest project in the world to deliver annotations

Originally created to support the annotation effort for the human genome

Pipelines and infrastructure have since been applied to a range of other species

- Strong focus on vertebrates
- Forked projects include Gramene (plant annotation), Wormbase, ...

Overview

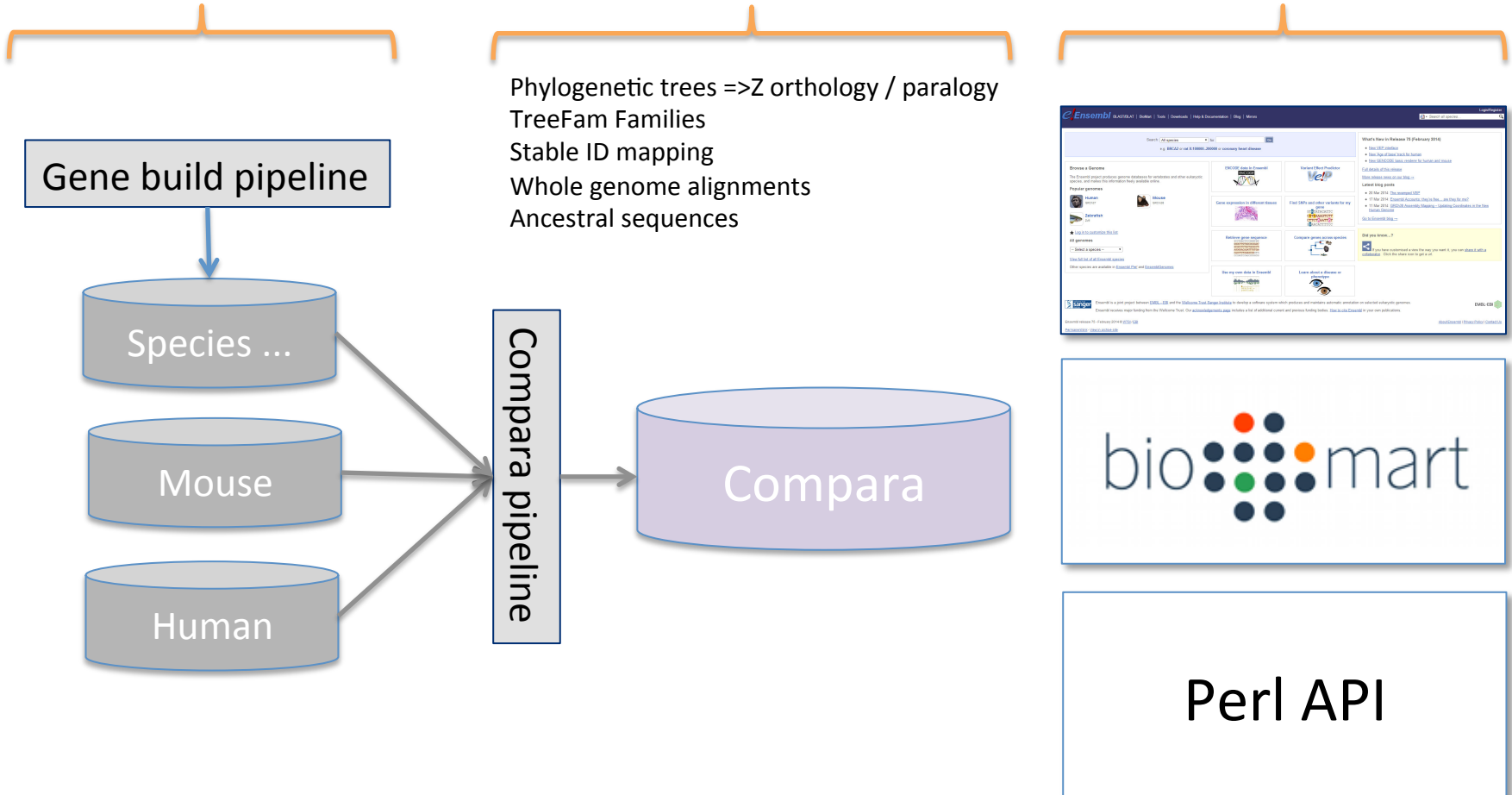
3 major components

They also have **Variation** and **Regulatory regions** components

annotation

cross-species resources

Data access





## The annotation pipeline

### 0. Setting up an annotation project

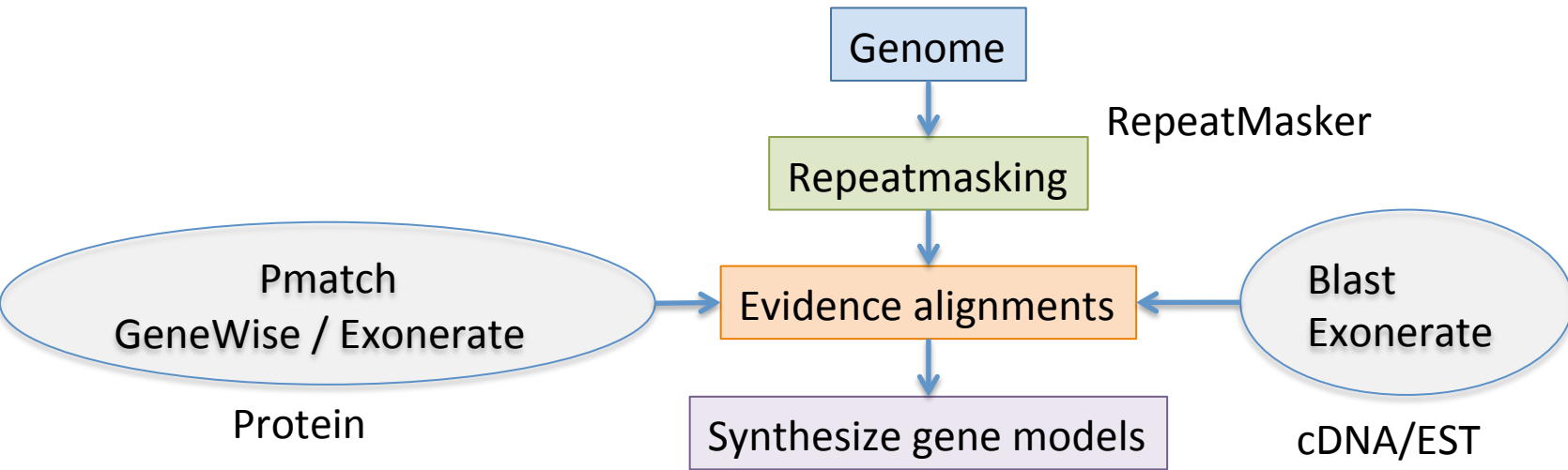
Config file needs to be written 'manually'

Pipeline logic needs to be specified 'manually'

Requires a total of 3 MySQL databases to be set up prior to starting

Stores assembly in layers (contigs, scaffold, chromosomes – via AGP file)

The annotation pipeline



no file output => data saved in database



How does EnsEMBL differ from e.g. Maker?

## 1. Gene building

Uses reference gene sequences as additional evidence

Does NOT use *ab-initio* gene predictions during gene building (in most cases...)  
= purely evidence-based

Combining and clustering of evidence is layered (evidence hierarchy)

Automatically patches suspected sequencing errors (cDNA read-through)

Generally does not try to annotate isoforms

Pipeline for ncRNA annotation is available (for select taxonomic groups)





How does Ensembl differ from e.g. Maker?

## 2. Additional analyses

Can be configured to perform down-stream analyses

Annotation of protein domains

Mapping of gene names

Cross-referencing with other databases

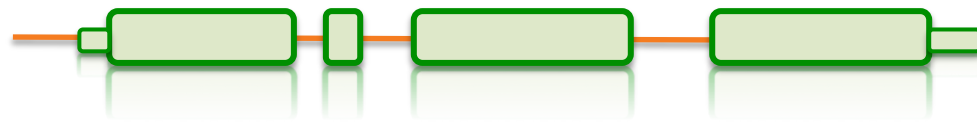
## 3. Output

Annotation file not a primary output, but a database filled with information

→ Much more complex, but also more powerful

## 4. Miscellaneous

Ensembl provides no tools for manual curation



**Strength :** High accuracy

- Training course exists
- API to access the data
- Compara

**Limits :** Hard to configure

- Complex data structure
- Only limited training opportunities
- Parallelization is done via SGE or LSF (cannot be run on just any system)
- Documentation is very patchy

They re-designed the pipeline recently !

- Several months per species => less than two weeks now.
- Minimal human interaction
- lincRNA included

=> They plan to use it this year



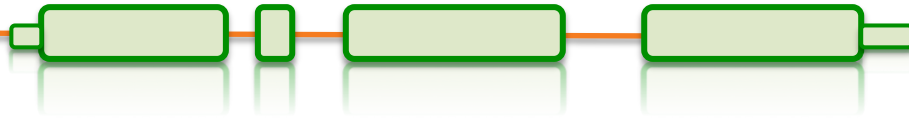
**=> When to use Ensembl ?**

- You need access to the Ensembl infrastructure (webcode, API, data structure)
- You have access to a cluster with LSF/SGE
- Investing weeks/months into learning the pipeline is 'worth it' for your project



3) Gene annotation pipelines  
(The ultimate step)

3.2) MAKER2



MAKER – developed as an easy-to-use alternative to other pipelines

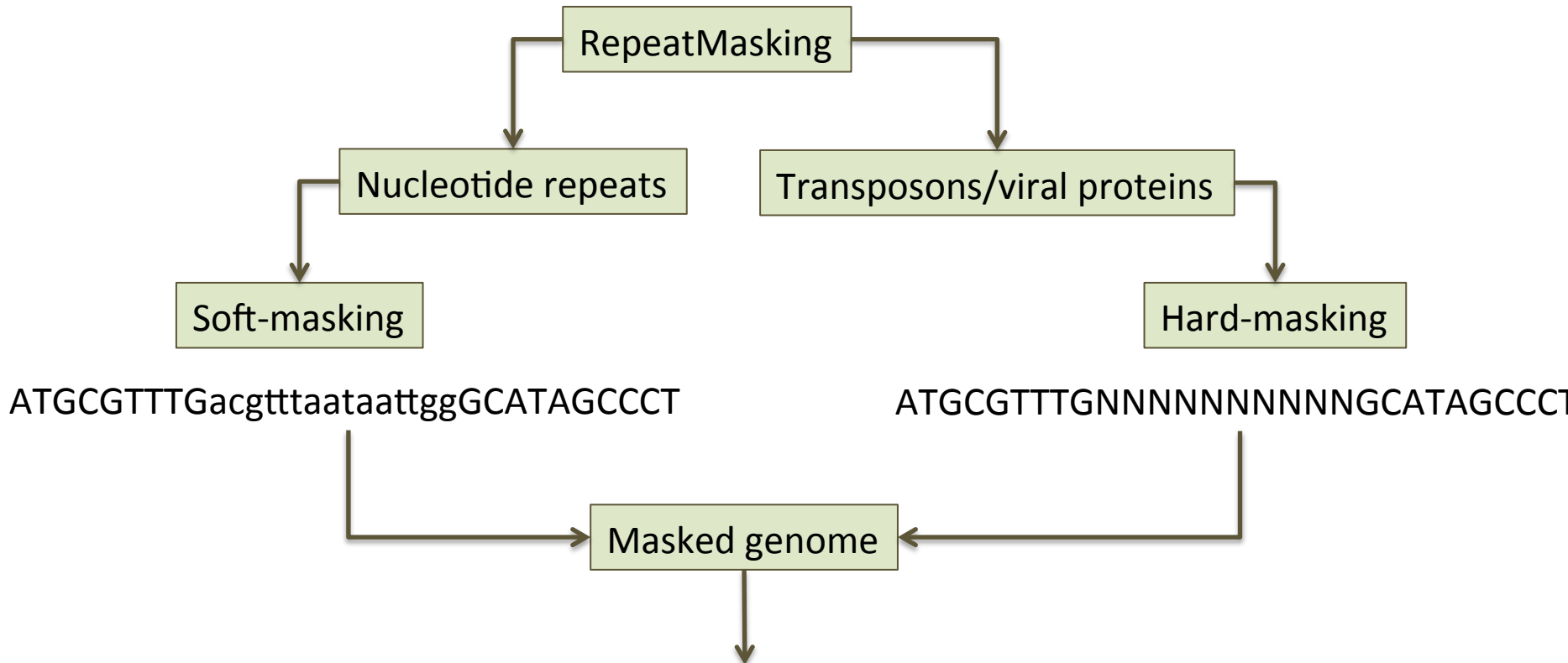
- can be used pure evidence-based, pure *ab initio*, or evidence-driven (on the fly) *ab initio*.
- add UTR when ESTs are supplied.
- Evidence based chooser : select post processed gene model the most consistent with evidences (protein / EST / RNAseq)

#### Advantages over competing solutions:

- Easy to use and to configure
- Almost unlimited parallelism built-in (limited by data and hardware)
- Largely independent from the underlying system where it is run on
- Everything is run through one command, no manual combining of data/outputs
- Follows common standards, produces GMOD compliant output
- **Annotation Edit Distance (AED) metric for improved quality control**
- Provides a mechanism to train and retrain *ab-initio* gene predictors
- Annotations can be updated by re-launching Maker with new evidences

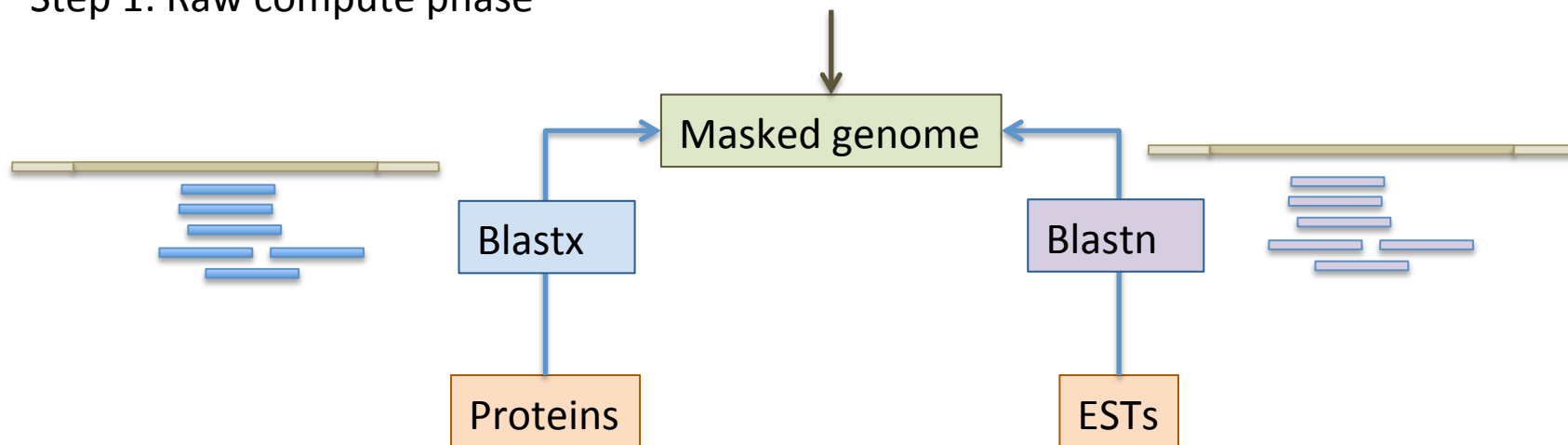
But how does Maker work exactly?

Step 1: Raw compute phase

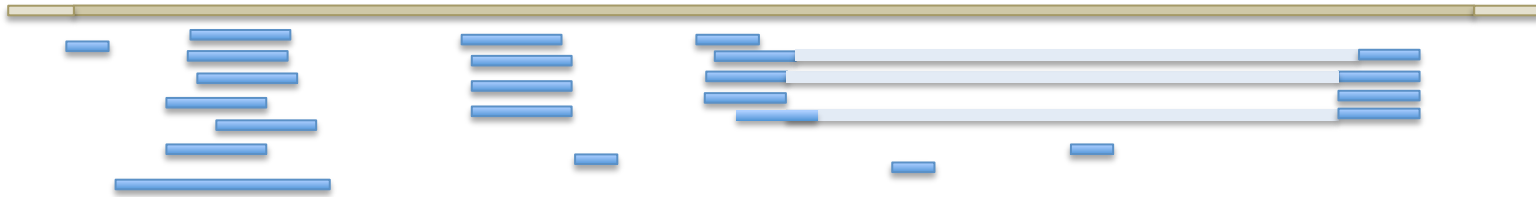


## Existing annotation pipelines – MAKER2

Step 1: Raw compute phase



## Step 2: Filter and cluster alignments

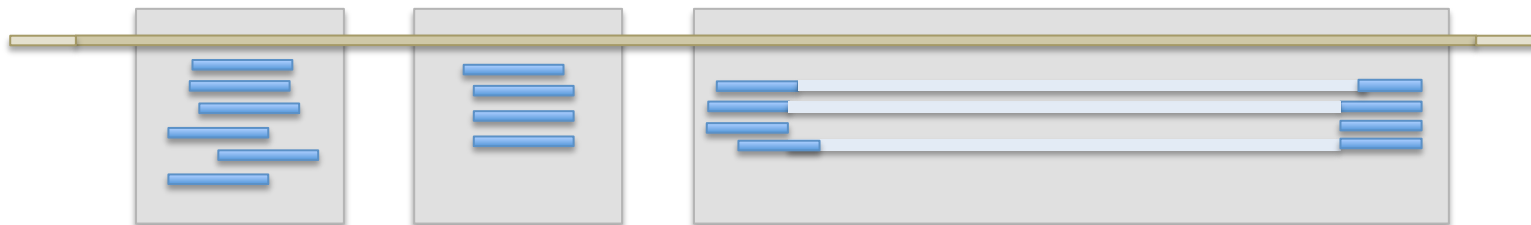


Filtering is based on rules defined in the Maker configuration for a given project

Example: EST alignment – 80% coverage and 85% identity

Default settings sensible for most projects, but can be changed!

Step 2: Filter and cluster alignments



Clustering groups evidence alignments into 'loci'



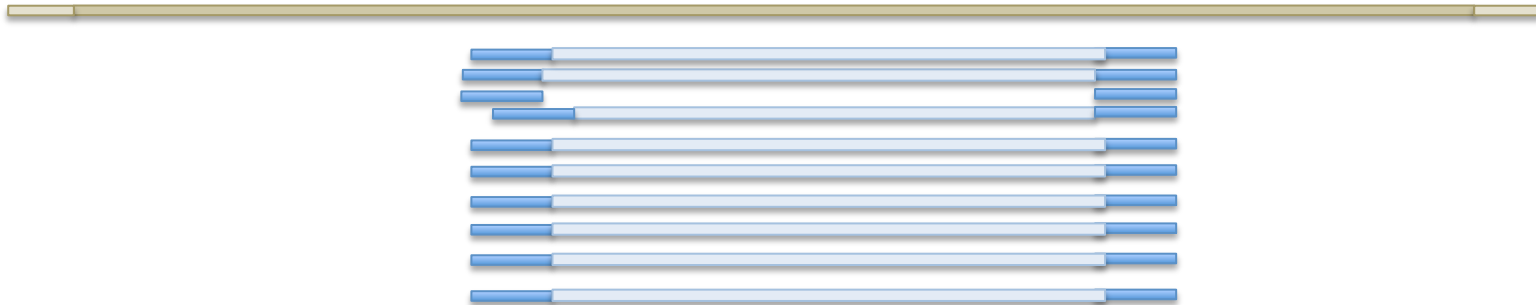
## Step 2: Filter and cluster alignments



Problematic data can complicate clustering

Needs to be fixed by => cleaner data

## Step 2: Filter and cluster alignments



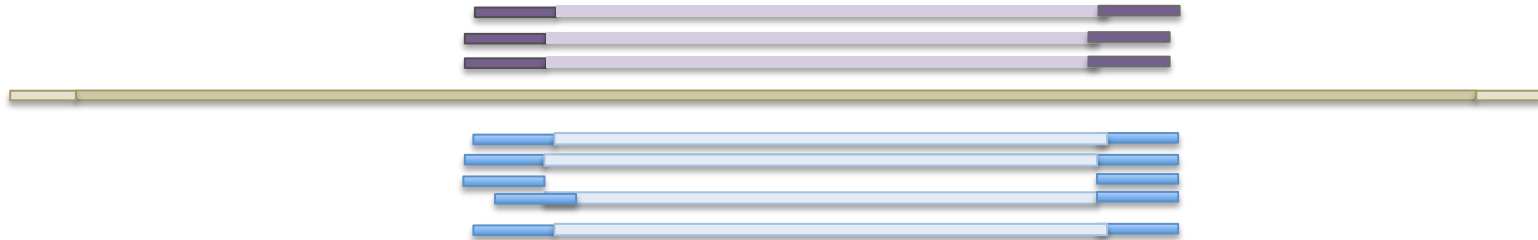
Clustering groups evidence alignments into 'loci'

Amount of data in any given cluster is then collapsed to remove redundancy

Threshold for the collapsing is also user-definable

## Existing annotation pipelines – MAKER2

### Step 2: Filter and cluster alignments



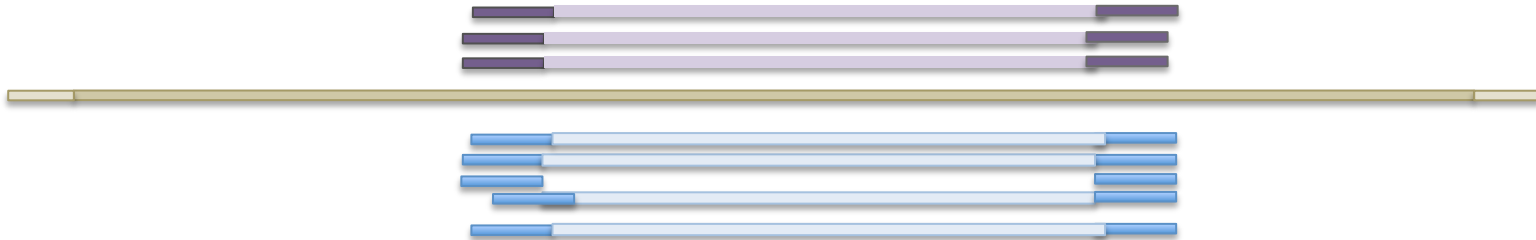
Clustering groups evidence alignments into 'loci'

Amount of data in any given cluster is then collapsed to remove redundancy

Threshold for the collapsing is also user-definable

Performed for all lines of evidence

### Step 3: Polishing alignments



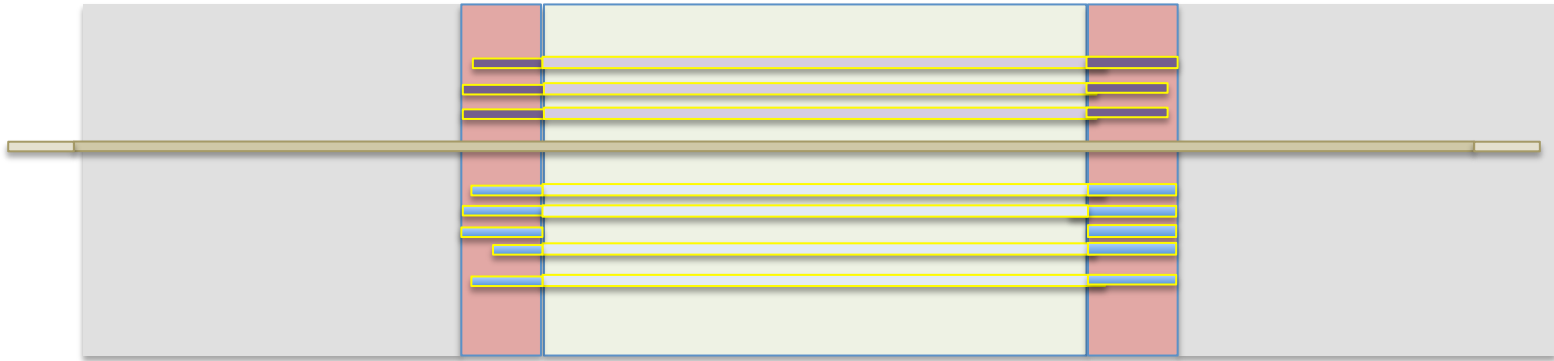
Blast-based alignments are only approximations, need to be refined

### Step 3: Polishing alignments



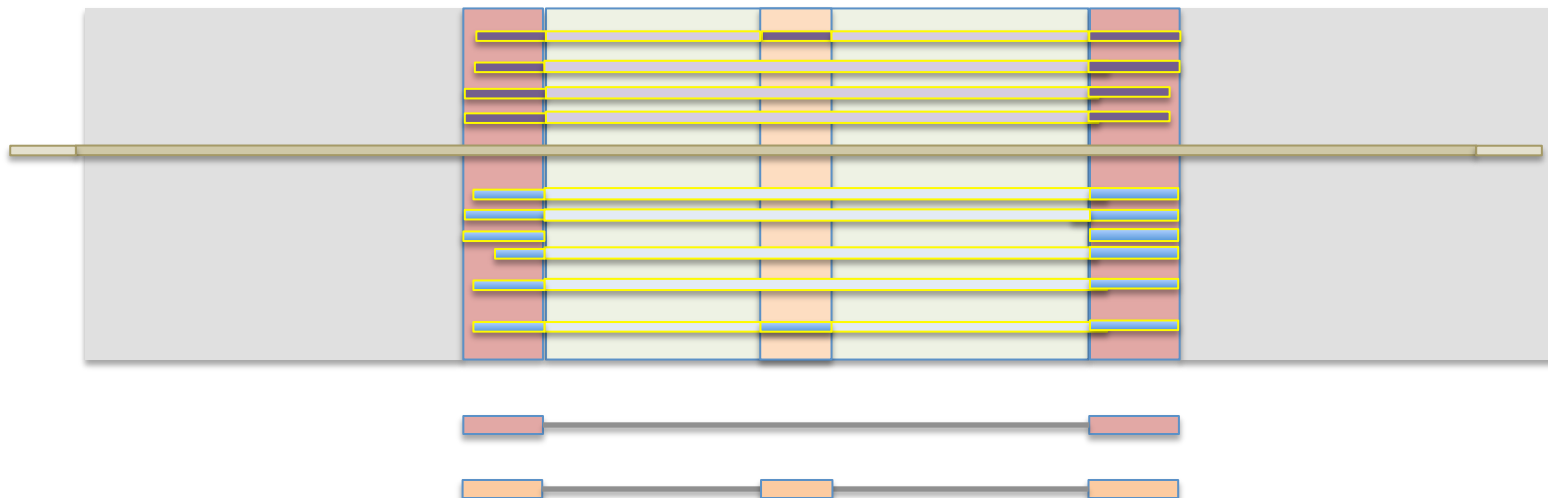
Blast-based alignments are only approximations, need to be refined  
Exonerates is used to create splice-aware alignments

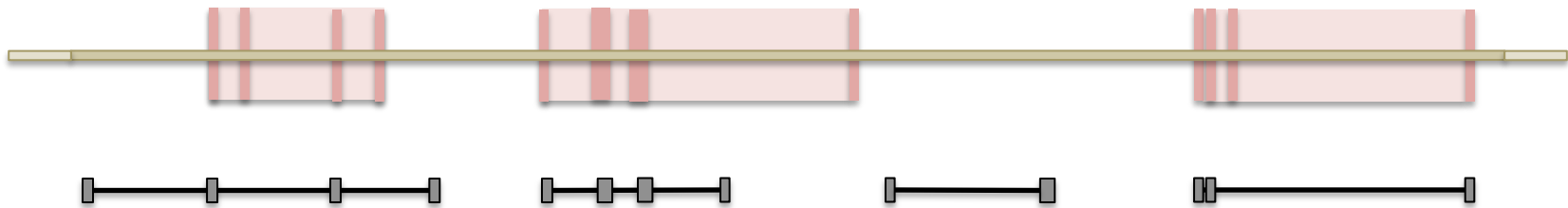
## Step 4: Synthesis



Synthesis refers to the extraction of information to generate evidence for annotations  
Done by identifying genomic regions overlapping with sequence features

Step 4: Synthesis

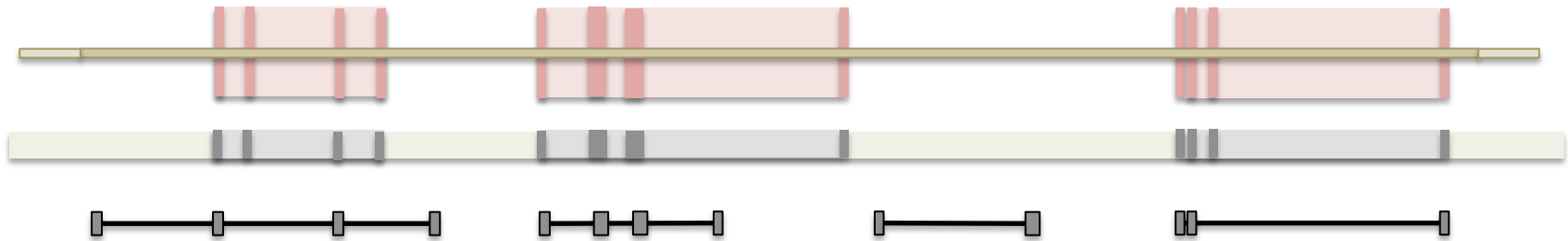


Step 4: Synthesis...and *ab-initio* gene finding

Evidence alignments provide support for the identification of gene loci

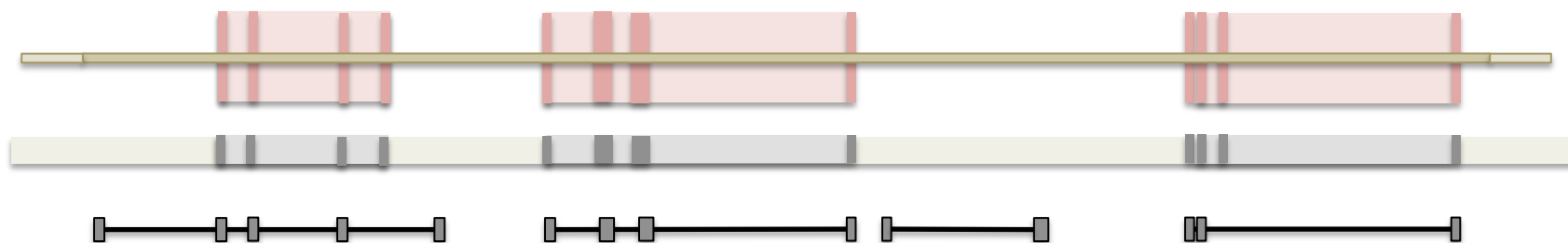
*Ab-initio* predictions can enhance these signals and fill gaps with no evidence



Step 4: Synthesis...and *ab-initio* gene finding

Ab-intio predictions can be improved when evidence is provided (hints)

Help refine and calibrate a computational inference for a given locus

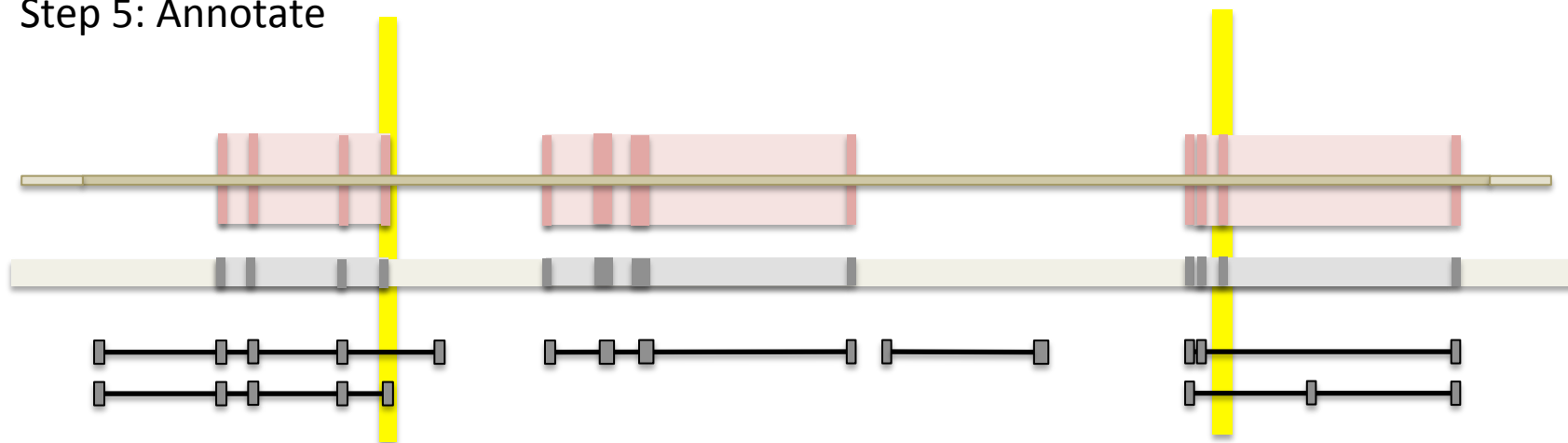
Step 4: Synthesis...and *ab-initio* gene finding

Ab-intio predictions can be improved when evidence is provided (hints)

Help refine and calibrate a computational inference for a given locus

Hints: Introns, intergenic sequence, CDS

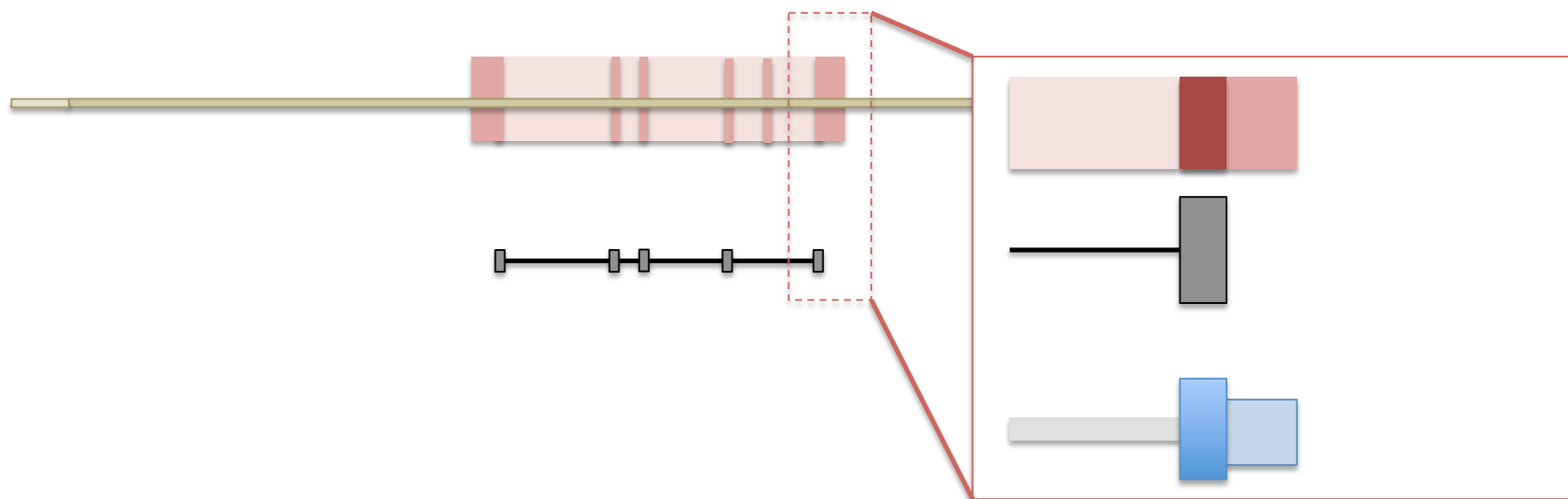
## Step 5: Annotate



Refined *ab-initio* models may still be incomplete / partially wrong

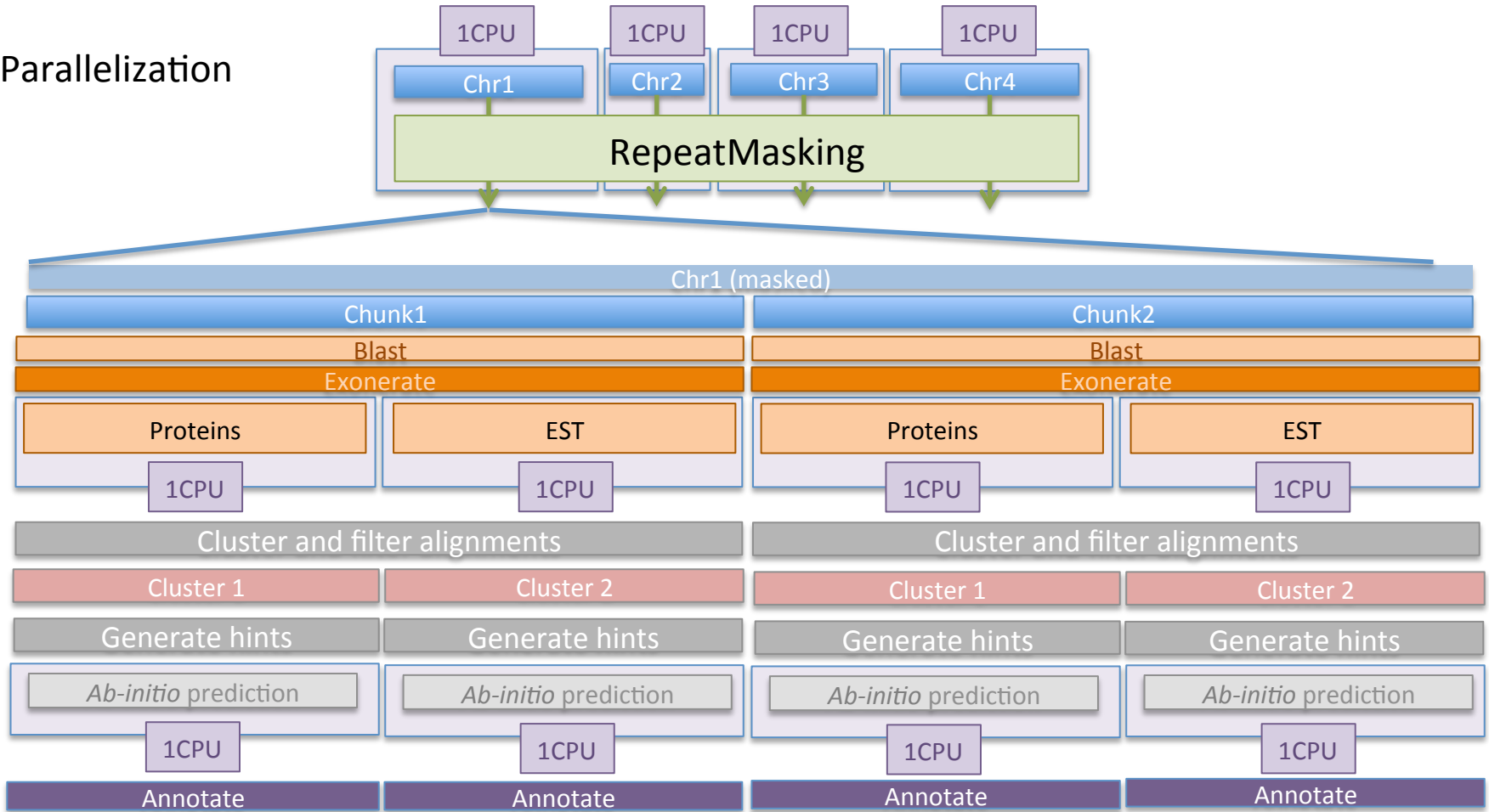
The gene models will be selected in agreement with the available evidences  
-> The minimum agreement threshold can be chosen

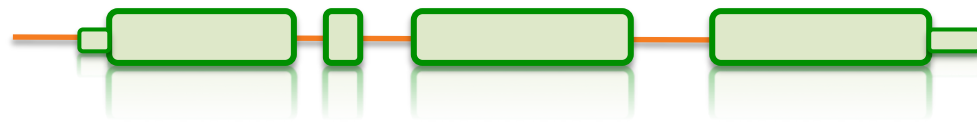
Step 5: Annotate



Synthesized transcript structures are compared against evidence to find UTRs

### Parallelization



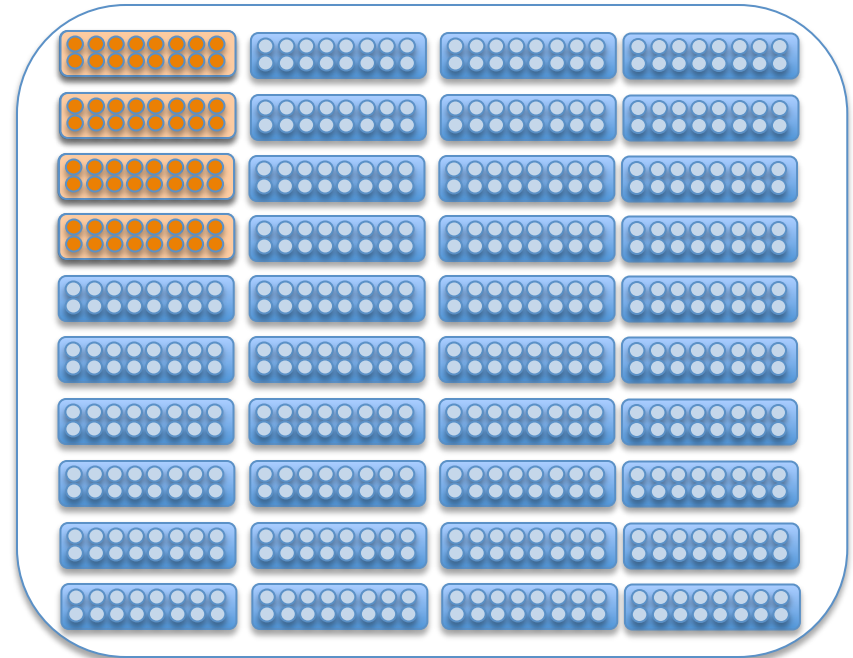


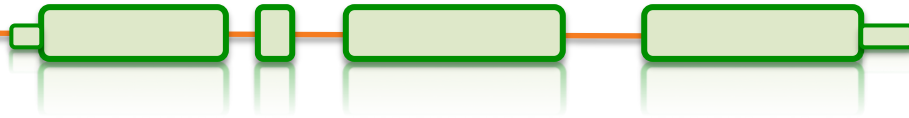
## Existing annotation pipelines – MAKER2

Parallelization – Running on Uppmax

Maker uses MPI for job distributon

- runs on almost all computing platforms
- Operates on cores, not nodes



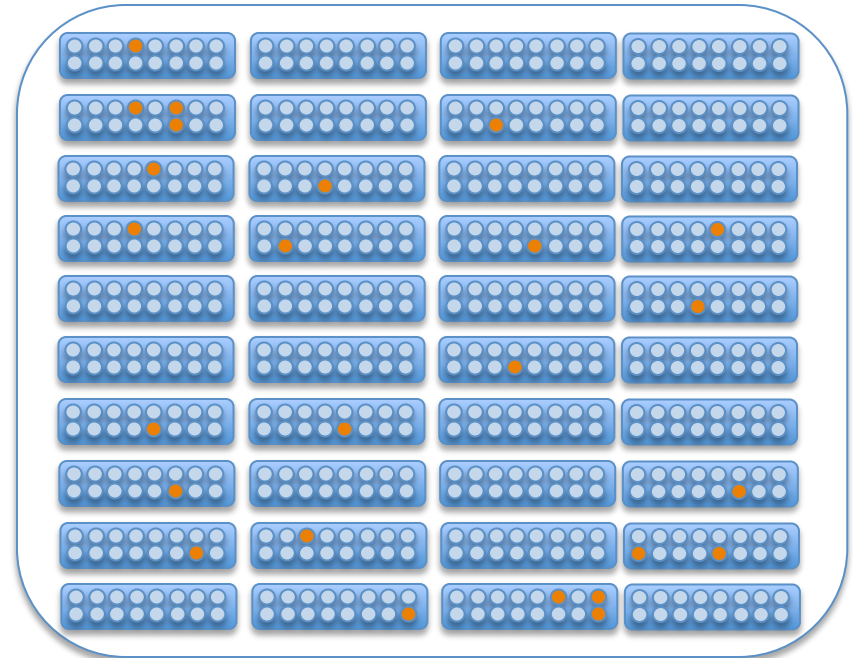


## Existing annotation pipelines – MAKER2

Parallelization – Running on Uppmax

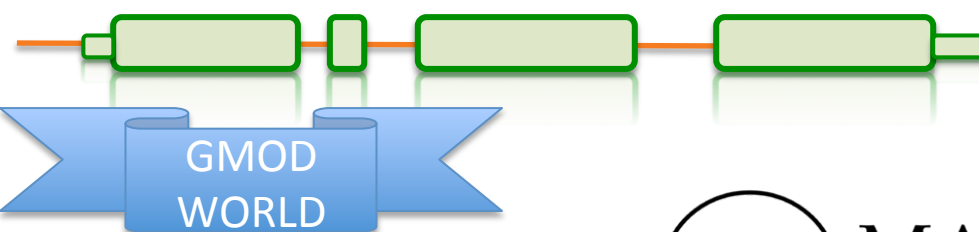
Maker uses MPI for job distributon

- runs on almost all computing platforms
- Operates on cores, not nodes



MAKER2

The NBIS annotation service



**MAKER**  
Annotate this!

Output = Annotation in gff3 format



Genome browser

**CHADO**

Biological  
database schema

**Webpollo**

Browser-based annotation editor



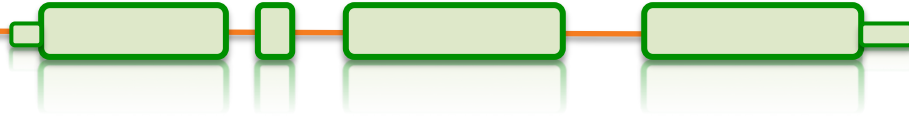
Tripal: Chado web interface



BioMart: Data mining system



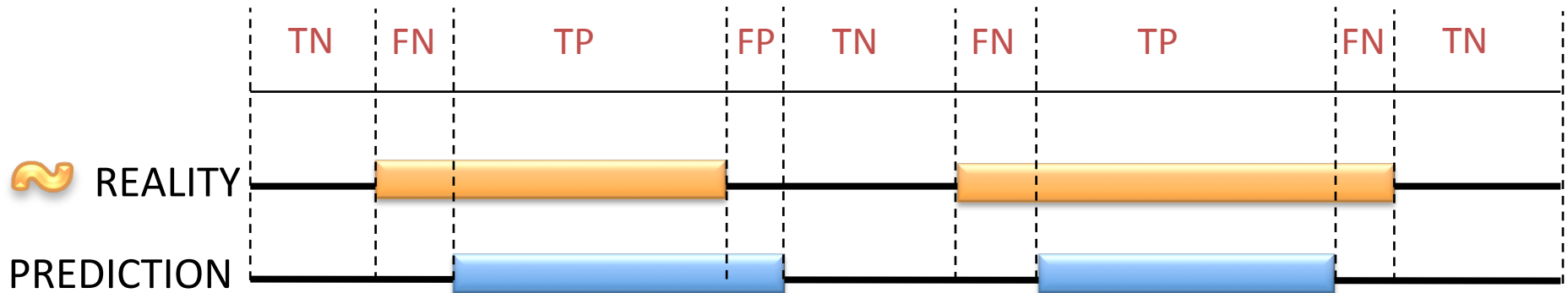
#### 4) Prokaryote annotation



- Prokaryotes have relatively simple gene structure
  - Single open reading frame
  - Alternative start codons: AUG, GUG, UUG
- Gene finders can predict most prokaryotic genes accurately (> 90% sensitivity and specificity)
  - Glimmer
  - Prodigal
  - Genemark-P
  - Eugene-PP
- Pipelines
  - **Prokka**
    - Barrnap (rRNA).
    - Aragorn (tRNA).
    - Infernal ncRNA family profile (misc\_RNA).
    - Prodigal used to detect the protein-coding features.
    - SignalP used to detect the signal peptide.
  - + Gene name and function inferred by best blast hit
  - + Output in different formats : NCBI, gff, etc.

5) Check an annotation

Assess the quality of an annotation:



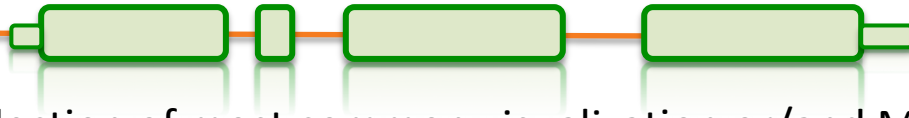
**Sensitivity** is the proportion of true predictions to total number of correct genes (including missed predictions)

$$S_n = \frac{TP}{TP + FN}$$

**Specificity** is the proportion of true predictions among all predicted genes (including incorrectly predicted ones)

$$S_p = \frac{TP}{TP + FP}$$

*Ab Initio* methods can approach 100% sensitivity, however as the sensitivity increases, accuracy suffers as a result of increased false positives.



## Selection of most common visualization or/and Manual curation tools

Name	Standalone	Web tool	Manual curation	year	comment
Artemis	X		X	2000	Can save annotation in EMBL format
IGV	X			2011	Popular
Savant	X			2010	Sequence Annotation, Visualization and ANalysis Tool. enable Plug-ins
Tablet	X		X	2013	
IGB	X			2008	enable Plug-ins. Can load local and remote data (dropbox, UCSC genome, etc)
Jbrowse		X		2010	GMOD (successor of Gbrowse)
Web Apollo		X	X	2013	Active community (gmod). Based on Jbrowse. Real-time collaboration
UCSC		X		2000	A large amount of locally stored data must be uploaded to servers across the internet
Ensembl genome browsers		X		2002	A large amount of locally stored data must be uploaded to servers across the internet

6) *To resume / Closing remarks*

Plethoric choice of methods

year	Gene finder Name	Type	Nb citation	Comments
1991	GRAIL	<i>Ab initio</i>		No longer supported
1992	GeneID	<i>Ab initio</i>		
1993	GeneParser	<i>Ab initio</i>		
1994	Fgenesh	<i>Ab initio</i>		Finds single exon only
1996	Genie	Hybrid		
1996	PROCRUSTES	Evidence based		
1997	Fgenes	Hybrid		No download version
1997	GeneFinder	<i>Ab initio</i>		Unpublished work
1997	GenScan	<i>Ab initio</i>		
1997	HMMGene	<i>Ab initio</i>		No download version
1997	GeneWise	Evidence based		
1998	GeneMark.hmm	<i>Ab initio</i>		
2000	GenomeScan			
2001	Twinscan			

## Closing remarks

### Plethoric choice of methods

year	Gene finder Name	Type	Nb citation	Comments
1998	GeneMark.hmm	<i>Ab initio</i>		
2000	GenomeScan			
2001	Twinscan			
2002	GAZE			
2004	Ensembl			
2004	GeneZillq/TIGRSCAN	<i>Ab initio</i>		No longer supported
2004	GlimmerHMM	<i>Ab initio</i>		
2004	SNAP	<i>Ab initio</i>		
2006	AUGUSTUS+			
2006	N-SCAN			
2006	TWINSKAN_EST			
2006	N_Scan_EST	Comparative+ Evidence		
2007	Conrad	<i>Ab initio</i>		



Plethoric choice of methods

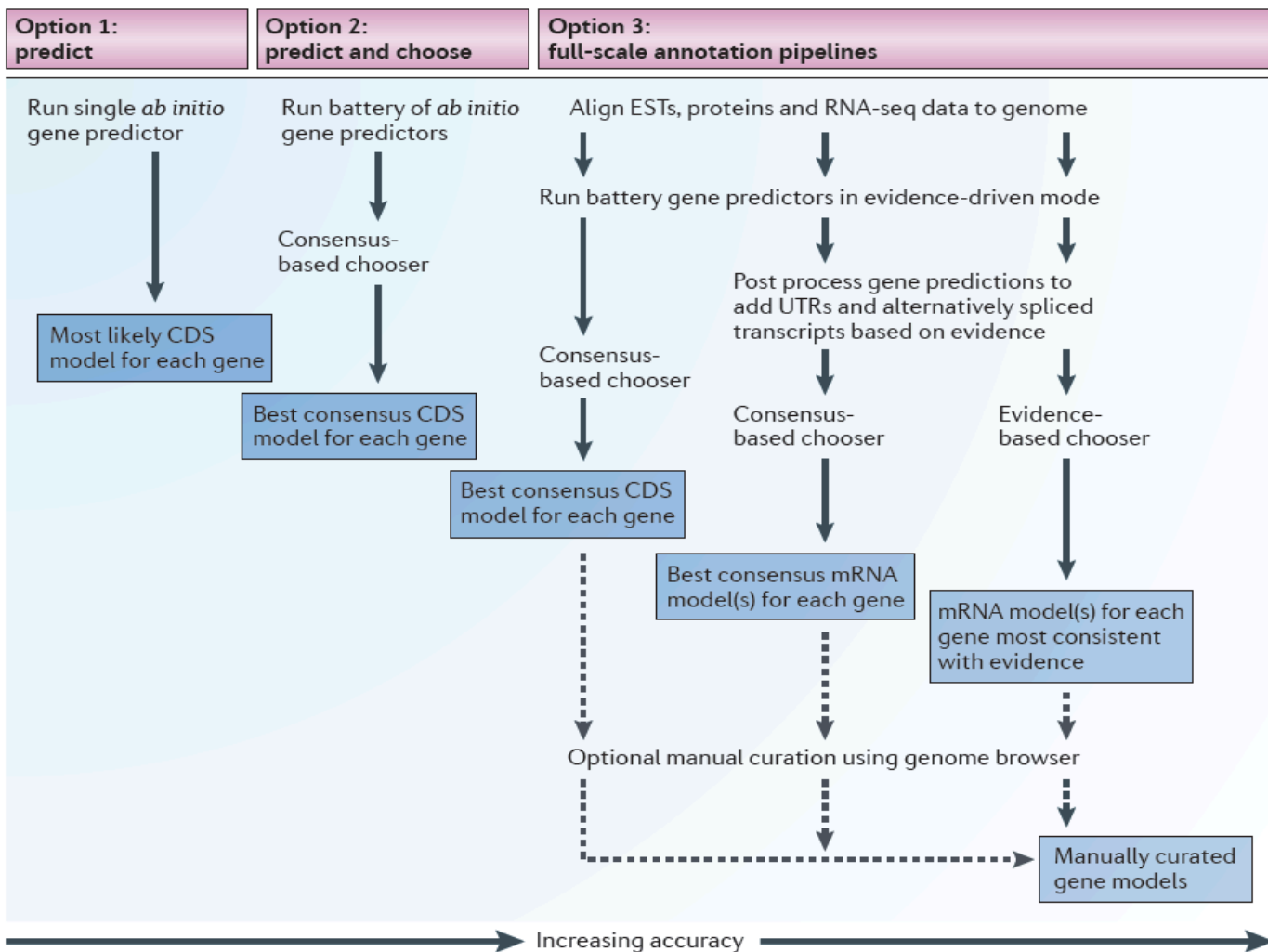
year	Gene finder Name	Type	Nb citation	Comments
2007	Contrast	Comparative	90	can also incorporate information from EST alignment
2008	Maker			
2009	mGene	<i>Ab initio</i>		No longer supported
2015	Ipred	Combiner evidence-based		
2016	BRAKER1	Hybrid		

Hybrid = ab initio and evidence based;  
 Comparative = genome sequence comparison

List not exhaustive !!

### How to choose Method:

- Scientific question behind ( need of a conservative annotation vs exhaustive)
- Species dependent (plant / Fungi / eucaryotes)
- phylogenetic relationship of the investigated genome to other annotated genomes (Tera incognita, close, already annotated).
- Data available (hmm profile, RNAseq, etc...)
- Depending on computing ressources (*ab initio* ~ hours < VS > pipeline ~ weeks)
- effort versus accuracy



### How to choose Method:

Mark Yandell & Daniel Ence  
*Nature Reviews Genetics* 13,329-342  
 (May 2012) doi:10.1038/nrg3174

**Figure 2** | Three basic approaches to genome annotation and some common variations. Approaches are compared on the basis of relative time, effort and the degree to which they rely on external evidence, as opposed to *ab initio* gene models. The y axis shows increasing time and effort; the x axis shows increasing use of external evidence and, consequently, increasing accuracy and completeness of the resulting gene models. The type of final product produced by each kind of pipeline is shown in the dark blue boxes. Relative positions in the figure are for summary purpose

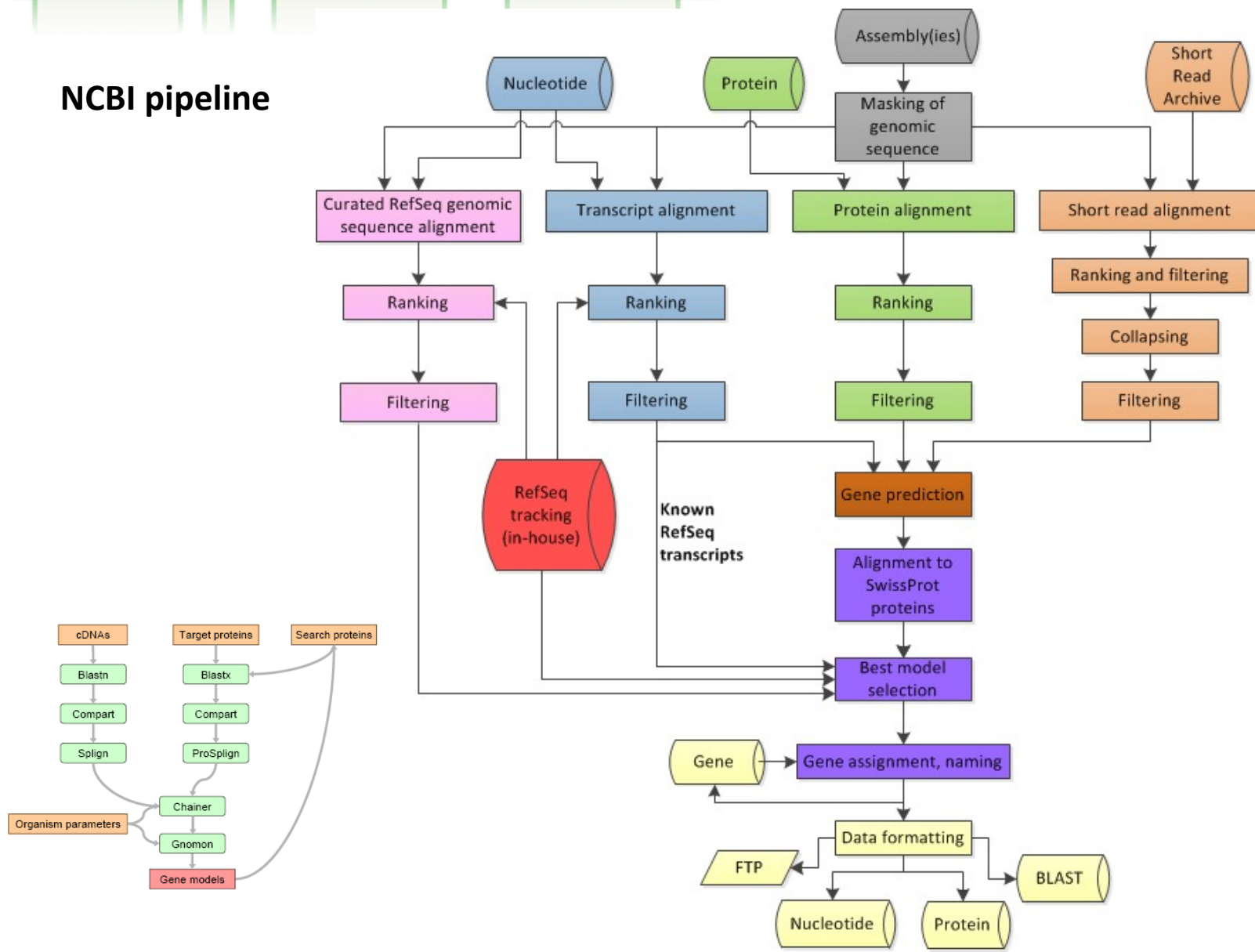
- Pipelines give good results  
MAKER2 the most flexible, adjustable
- Most of methods only build gene models, no **functional inference**
- Computational pipelines make mistakes !!
- Annotation requires **manual curation**
- As for assembly an annotation is never ending, can always be improved (e.g. Human)

=> Practical session will focus on the MAKER2 pipeline

*THE END*

Supplement

### NCBI pipeline

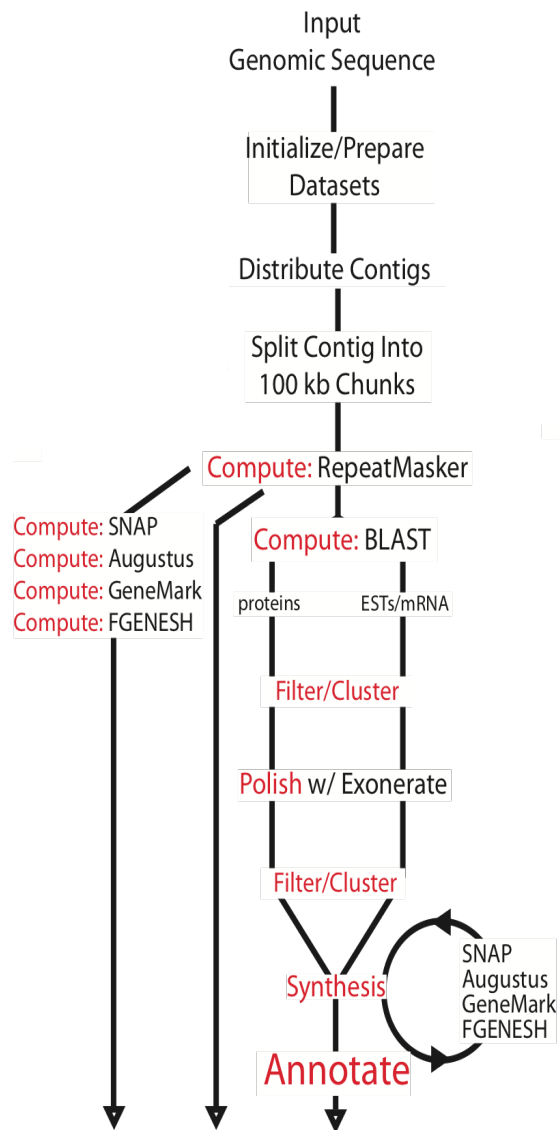


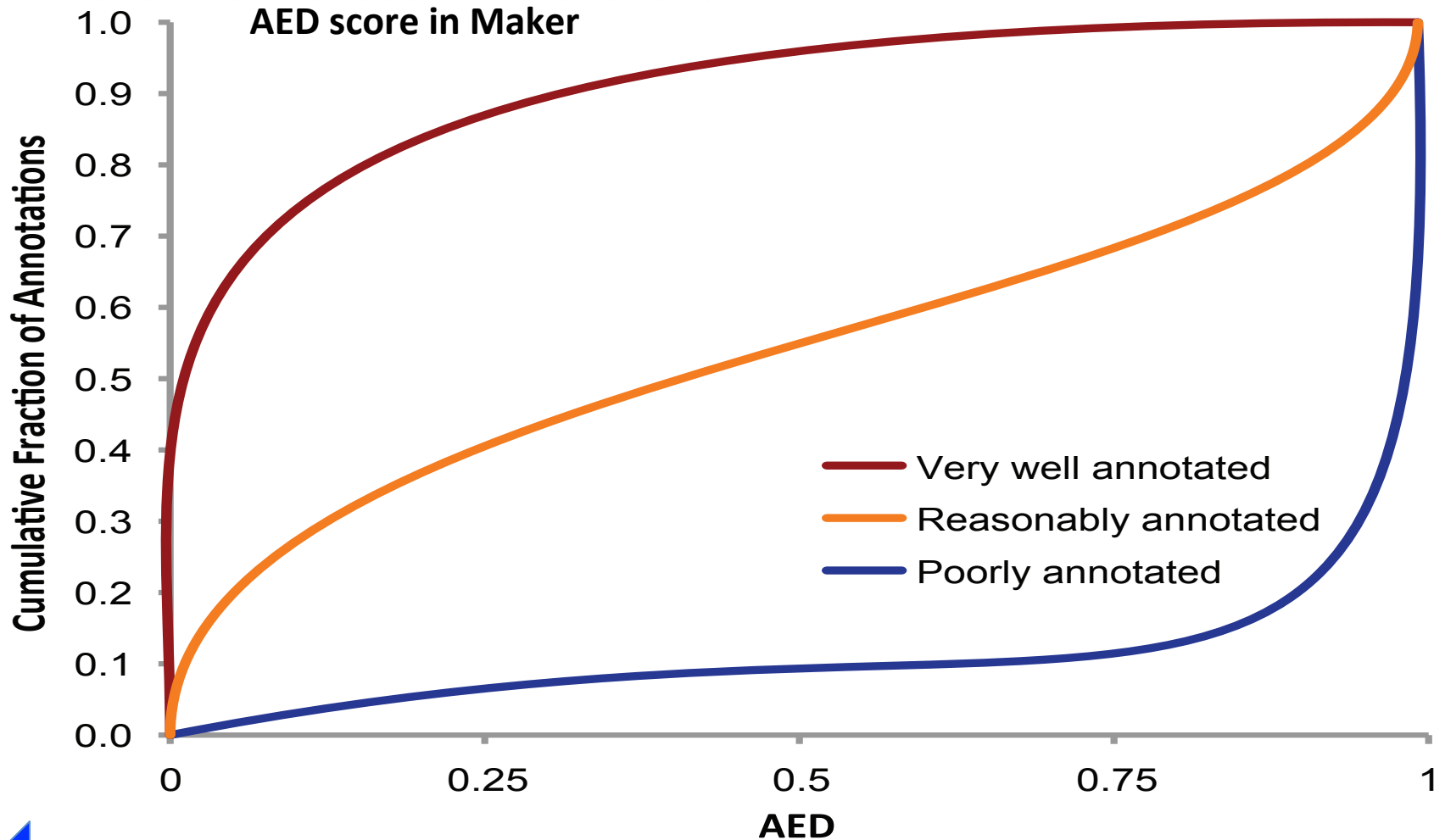
### Ensembl pipeline





Maker pipeline





Better

Quality

Worse